



Attorney Docket No.: 0140-009070US  
Client Ref. No.: 467-C5 and CX-00-0382

PTO/SB/01A (10-01)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention      FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS

As the below named inventor(s), I/we declare that:

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This declaration is directed to:

OFFICE OF PETITIONS

- The attached application, or  
 Application No. 09/886,349 filed on June 20, 2001  
 as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

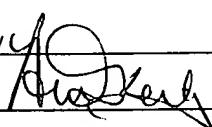
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

### FULL NAME OF INVENTOR(S)

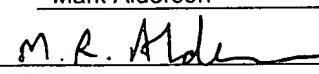
Inventor 1      Yasir Skeiky      Date: 6/20

Signature:  Citizen of: Canada

Inventor 2      Steven Reed      Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 3      Mark Alderson      Date: 6/20/02

Signature:  Citizen of: Australia

Inventor 4      \_\_\_\_\_ Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

- Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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06-20-02

10:46AM FROM-CORIXA CORPORATION

206-7545994

P-170 P-2/03 T-028

U.S. PATENT &amp; TRADEMARK OFFICE

Attorney Docket No.: 0140-009070US  
Client Ref. No.: 467-C6 and CX-00-0382

Approved for use through 10/31/2002 OMB 0651-0692

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention**

FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS

As the below-named inventor(s), I/we declare that

This declaration is directed to:

- The attached application, or  
 Application No. 09/886,349 filed on June 20, 2001  
 as amended on \_\_\_\_\_. (if applicable).

I/we believe that I/we am/bre the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought.

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**RECEIVED**

JAN 06 2003

**OFFICE OF PETITIONS****FULL NAME OF INVENTOR(S)**

Inventor 1

Yasir Skeiky

Date

6/20/02

Signature

Citizen of Canada

Inventor 2

Steven Reed

Date

20 June 02

Signature

Citizen of United States

Inventor 3

Mark Alderson

Date

6/20/02

Signature

Citizen of Australia

Inventor 4

Signature

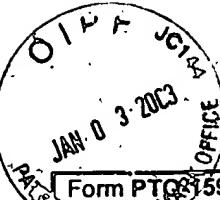
Date

MAR 12 2003

**RECEIVED** Additional inventors are being named on form(s) attached hereto

TECH CENTER 1600/29

**Warning Statement:** This collection of information is required by 35 U.S.C. 116 and 37 CFR 1.63. The information is used by the public to file and the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual user. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
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Form PTO-1595

(Rev. 03/05/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

# Recordation Form Cover Sheet PATENTS ONLY

U.S. Department of Commerce  
U.S. Patent and Trademark Office

Tab settings &gt;&gt;&gt; ▽



To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Yasir Skeiky

Additional name(s) of conveying parties attached?  Yes  No.

## 3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other: \_\_\_\_\_Execution Date: June 20, 2002

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 09/886,349

B. Patent No(s): \_\_\_\_\_

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Annette S. Parent  
 TOWNSEND AND TOWNSEND AND CREW LLP  
 Two Embarcadero Center, 8<sup>th</sup> Floor  
 San Francisco, California 94111-3834  
 (415) 576-0200

6. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): \$40.00 Enclosed Authorized to be charged to deposit account8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.*

Annette S. ParentName of Person Signing  
Atty. Reg. No. 42,058

Signature

August 7, 2002

Date

Total number of pages including cover sheet, attachments and document 6

Mail documents to be recorded with required cover to:  
 Commissioner of Patents and Trademarks, Box Assignments  
 Washington, D.C. 20231

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Steven Reed  
Mark Alderson

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Yasir Skeiky of 8327 25th Ave. N W, Seattle, WA 98107; Steven Reed of 2843 122nd Place NE, Bellevue, WA 98005; Mark Alderson of 1116 Grow Avenue, NW, Bainbridge Island, WA 98116; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:           **FUSION PROTEINS OF MYCOBACTERIUM  
TUBERCULOSIS**

Date(s) of execution of Declaration:

Filing Date:                 June 20, 2001

Application No.:           09/886,349; and

WHEREAS, Corixa Corporation, a corporation of the state of Delaware, located at 1124 Columbia Street, Suite 200, Seattle, WA, 98104, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:

6/20



Yasir Skeiky

STATE OF WASHINGTON )  
                        )  
                        ss.  
COUNTY OF             )

On June 20, 2002, before me, DEIRDRE I. BRYAN,  
personally appeared Yasir Skeiky, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

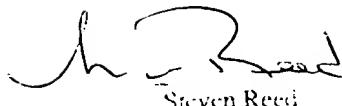


Deirdre I. Bryan  
NOTARY PUBLIC

My Commission Expires: 9/1/02

Assignment  
Attorney Docket No. 014058-009070US  
Page 3

Dated 20 June 2002



Steven Reed

STATE OF WASHINGTON )  
                        )  
COUNTY OF             )

On \_\_\_\_\_ before me, \_\_\_\_\_ personally known to me (or proved to me on the basis of personally appeared Steven Reed, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

NOTARY PUBLIC

My Commission expires

Assignment  
Attorney Docket No.: 014058-009070US  
Page 4

Dated: 6/20/02

M. R. Alderson  
Mark Alderson

STATE OF WASHINGTON )  
                        )  
                        ss.  
COUNTY OF             )

On June 20, 2002, before me, DEIRDRE I. BRYAN,  
personally appeared Mark Alderson, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Deirdre I Bryan  
NOTARY PUBLIC

My Commission Expires: 9/1/02

SF 1350351 v1



Please type a plus sign (+) inside this box → +

PTO/SB/81 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

	<b>Application Number</b>	09/886,349
	<b>Filing Date</b>	June 20, 2001
	<b>First Named Inventor</b>	SKEIKY, Yasir
	<b>Title</b>	FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS
	<b>Group Art Unit</b>	Unassigned
	<b>Examiner Name</b>	Unassigned
	<b>Attorney Docket Number</b>	014058-009070US

I hereby appoint:

Practitioners at Customer Number

20350

Place Customer  
Number Bar Code  
Label here

OR

Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

OR

Practitioners at Customer Number

Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name      Kathleen N. McKereghan

Signature

Date      24 Jun 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below\*.

X \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**EXHIBIT F**



PTO/SB/96 (08-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCEUnder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Attorney Docket No. 014058-009070US**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Yasir SKEIKY, Steven REED and Mark ALDERSON

Application No./Patent No.: 09/886,349 Filed/Issue Date: June 20, 2001

Entitled: FUSION PROTEINS OF MYCOBACTERIUM TUBERCULOSIS

Corixa Corporation a Delaware corporation,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. X An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: \_\_\_\_\_ To : \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.2. From: \_\_\_\_\_ To : \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.3. From: \_\_\_\_\_ To : \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

24 Jun 2002

Date

Kathleen N. McKereghan

Typed or printed name

Signature

Senior VP, General Counsel

Title and Secretary

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Yasir Skeiky of 8327 25th Ave. N W, Seattle, WA 98107; Steven Reed of 2843 122nd Place NE, Bellevue, WA 98005; Mark Alderson of 1116 Grow Avenue, NW, Bainbridge Island, WA 98116; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

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Date(s) of execution of Declaration:

Filing Date:                 June 20, 2001

Application No.:           09/886,349; and

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For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Not for Recordation -  
Exhibit only

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated:

6/20

  
Yasir Skeiky

STATE OF WASHINGTON )  
                        )  
                        ss.  
COUNTY OF             )

On June 20, 2002, before me, DEIRDRE I. BRYAN,  
personally appeared Yasir Skeiky, personally known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me  
that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the  
person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
Deirdre I. Bryan  
NOTARY PUBLIC

My Commission Expires: 9/1/02

Assignment  
Attorney Docket No. 014058-009070US  
Page 3

Dated 20 June 2002

  
Steven Reed

STATE OF WASHINGTON )  
                        )  
COUNTY OF             )

On \_\_\_\_\_ before me, \_\_\_\_\_ personally known to me (or proved to me on the basis of personally appeared \_\_\_\_\_ Steven Reed, \_\_\_\_\_ personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

NOTARY PUBLIC

My Commission Expires:

Assignment  
Attorney Docket No.: 014058-009070US  
Page 4

Dated: 6/20/02

M. R. Alderson  
Mark Alderson

STATE OF WASHINGTON )  
                        )  
COUNTY OF             )

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WITNESS my hand and official seal.

Deirdre I Bryan  
NOTARY PUBLIC

My Commission Expires: 9/1/02

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CONFIDENTIAL



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MAR 12 2003

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PATENT PROPERTY STATUS REPORT

PREPARED FOR

Corixa Corporation  
1124 Columbia Street  
Suite 200  
Seattle, WA 98104

PREPARED BY

TOWNSEND AND TOWNSEND AND CREW LLP

San Francisco Office TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111 (415)-576-0200 voice (415)-576-0300 facsimile	Palo Alto Office 379 LYTTON AVENUE PALO ALTO, CA 94301-1431 (650)-226-2400 voice (650)-326-2422 facsimile	Seattle Office TWO UNION SQUARE 601 UNION STREET, SUITE 5400 SEATTLE, WA 98101-2327 (206)-467-9600 voice (206)-623-6793 facsimile	Denver Office 1200 SEVENTEENTH STREET SUITE 2700 DENVER, CO 80202 (303)-571-4000 voice (303)-571-4321 facsimile	Walnut Creek Office 2175 N. CALIFORNIA BLVD. SUITE 625 WALNUT CREEK, CA 94586 (925)-472-5000 voice (925)-472-8895 facsimile
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August 2, 2002

Please contact us for explanations or limitation of this report.

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OFFICE OF PETITIONS

CONFIDENTIAL  
PATENT PROPERTY STATUS REPORT

TTC Ref Country	Client's Ref ATTY(s) Handling	Title	Inventor	Application No.	Patent No. Issue Date	Status Remarks
014058-009070US ASP (KLB)		Fusion Proteins of Mycobacterium Tuberculosis	Sheiky, Yasir A. W. Reed, Steven G. Alderson, Mark R.	09886349 06/20/01		Pending Priority (Cntry, Appl No, Date): US 09/597796 06/20/00 IDS due 09/20/01

NOTE: Inventors are limited to first four only.  
August 2, 2002